

DRAFT Minutes Regulatory Oversight Committee Open Meeting

August 14, 2025 | 10:15 a.m. – 11:15 a.m. Mountain

In-Person

The Westin Calgary Downtown
320 4th Avenue SW
Calgary, Alberta, Canada, T2P 2S6

Mr. Robin E. Manning, Chair, called to order the duly noticed open meeting of the Board of Trustees Regulatory Oversight Committee (ROC or Committee) of the North American Electric Reliability Corporation (NERC) on August 14, 2025, at approximately 10:15 a.m. Mountain Time, and a quorum was declared present.

Present at the meeting were:

Committee Members

Robin E. Manning, Chair
Kenneth W. DeFontes, Jr.
George S. Hawkins
Susan Kelly
Suzanne Keenan, *ex-officio*

Board of Trustees Members

James B. Robb, NERC President and Chief Executive Officer
Jane Allen
Larry Irving
Jim Piro
Colleen Sidford
Kristine Schmidt

NERC Staff

Michael Ball, Senior Vice President and CEO of the E-ISAC
Tina Buzzard, Director, Board Operations and Corporate Governance
Jamie Calderon, Director, Standards Development
Todd Carter, Vice President, Business Technology
Shamai Elstein, Associate General Counsel
Fritz Hirst, Vice President, Government Affairs
Howard Gugel, Senior Vice President, Regulatory Oversight
Kelly Hanson, Senior Vice President, Chief Operating Officer
Latrice Harkness, Director, Standards Development
Nina Johnston, Assistant General Counsel and Director of Corporate Risk Management
Soo Jin Kim, Vice President, Engineering and Standards
Mark Lauby, Senior Vice President and Chief Engineer
Kimberly Mielcarek, Vice President, Communications
Kristin Miller, Director, Internal Audit
Kaiesha Morgan, Program Specialist, Corporate Governance and Enforcement
Sônia Rocha, Senior Vice President, General Counsel, and Corporate Secretary

Liz Saunders, Vice President, People and Culture
Camilo Serna, Senior Vice President, Strategy and External Engagement
Andy Sharp, Vice President, Chief Financial Officer
Teri Stasko, Assistant General Counsel and Director of Enforcement
Jim Stuart, Director, Registration
Bluma Sussman, Vice President, E-ISAC Stakeholder Engagement

Introduction and Chair's Remarks

Chair Manning welcomed the members of the ROC and other attendees to the meeting. Chair Manning provided a brief summary of the August 7, 2025 Executive Committee Meeting, noting the Committee discussed (1) two significant CMEP matters; (2) Reliability Standards development projects; and (3) potential regulatory changes in Nova Scotia, Alberta, and Québec. Regarding the CMEP matters, Chair Manning reminded participants to remain vigilant on the fundamental, essential tasks in operating and securing the grid.

NERC Antitrust Compliance Guidelines

Ms. Buzzard directed the participants' attention to the NERC Antitrust Compliance Guidelines included in the advance agenda package and indicated that all questions regarding antitrust compliance or related matters should be directed to Ms. Rocha.

Minutes

Upon motion duly made and seconded, the CC approved the May 7, 2025 Open Sessions minutes.

Reliability Standards

Ms. Kim provided an update on Order No. 901 Milestones 3 and 4. She shared that three MOD standards are being finalized for ballot. She noted that the Standards teams did a tremendous job of holding technical conferences and a workshop, and meeting with stakeholders generally to expand outreach. Ms. Kim encouraged stakeholders to support the ballot because it is crucial to getting the standards to a final ballot as the standards must be presented for Board adoption in October in order to meet the November regulatory deadline. The Committee discussed the desire to meet the November deadline using the typical process; however, the Committee indicated it will do what is needed to meet the deadline.

Ms. Calderon shared that NERC staff developed meaningful Standards Performance Metrics to assess: (1) the rate at which identified risks to the BPS are addressed through appropriate action, whether standards development or another mitigating method; and (2) if those risks are mitigated through the standards development process, how successful the standards development process is at achieving the necessary consensus on a Reliability Standard to addresses that risk.

Canadian Standards and Compliance Monitoring and Enforcement

Mr. Gugel, Damase Hebert, General Counsel and Corporate Secretary, Northeast Power Coordinating Council, Inc. (NPCC), Richard Burt, Senior Vice President and Chief Operating Officer, Midwest Reliability Organization, Inc. (MRO), and Steven Noess, Vice President of Reliability and Security Oversight, Western Electricity Coordinating Council (WECC) provided an annual presentation on Canadian standards, compliance monitoring, and enforcement. NERC, MRO, NPCC, and WECC operate under various regulatory

constructs and under various agreements with interconnected provinces in Canada. The presentation highlighted the importance of working together within these constructs for reliability and security.

Inverter-Based Resources Topics

Mr. Stuart noted that in August 2025, NERC will begin notification to verified Category 2 registrants of future inclusion on the NERC Compliance Registry with a future effective date of May 15, 2026. He shared that there has been significant coordination and collaboration between NERC and the Regional Entities to develop work processes to ensure consistency in registration of Category 2 registrants.

Reference Materials

Chair Manning brought the reference materials to the attention of the Committee.

Adjournment

There being no further business, the meeting was adjourned.

Submitted by,

A handwritten signature in black ink, appearing to be 'SR', followed by a horizontal line extending to the right.

Sônia Rocha
Corporate Secretary